

DIGITAL KARYOTYPING

- [01] This application claims the benefit of provisional application Ser. No. 60/426,406 filed November 15, 2002, the contents of which are expressly incorporated herein.
- [02] The work underlying this invention was supported in part by the U.S. government. Thus the U.S. government retains certain rights in the invention according to the provisions of grant nos. CA 43460, CA 57345, CA 62924 of the National Institutes of Health.
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FIELD OF THE INVENTION

- [04] The invention relates to the field of genetics. In particular, it relates to the determination of karyotypes of genomes of individuals.

BACKGROUND OF THE INVENTION

- [05] Somatic and hereditary variations in gene copy number can lead to profound abnormalities at the cellular and organismal levels. In human cancer, chromosomal changes, including deletion of tumor suppressor genes and amplification of oncogenes, are hallmarks of neoplasia (1). Single copy changes in specific chromosomes or smaller regions can result in a number of developmental disorders, including Down, Prader Willi, Angelman, and cri du chat syndromes (2). Current methods for analysis of cellular genetic content include comparative genomic hybridization (CGH) (3), representational difference analysis (4), spectral karyotyping

/ M-FISH (5, 6), microarrays (7-10), and traditional cytogenetics. Such techniques have aided in the identification of genetic aberrations in human malignancies and other diseases (11-14). However, methods employing metaphase chromosomes have a limited mapping resolution (~20 Mb) (15) and therefore cannot be used to detect smaller alterations. Recent implementation of comparative genomic hybridization to microarrays containing genomic or transcript DNA sequences provide improved resolution, but are currently limited by the number of sequences that can be assessed (16) or by the difficulty of detecting certain alterations (9). There is a continuing need in the art for methods of analyzing and comparing genomes.

BRIEF SUMMARY OF THE INVENTION

- [06] In a first embodiment a method is provided for karyotyping a genome of a test eukaryotic cell. A population of sequence tags is generated from defined portions of the genome of the test eukaryotic cell. The portions are defined by one or two restriction endonuclease recognition sites. The sequence tags in the population are enumerated to determine the number of individual sequence tags present in the population. The number of a plurality of sequence tags in the population is compared to the number of the plurality of sequence tags determined for a genome of a reference cell. The plurality of sequence tags are within a window of sequence tags which are calculated to be contiguous in the genome of the species of the eukaryotic cell. A difference in the number of the plurality of sequence tags within the window present in the population from the number determined for a reference eukaryotic cell indicates a karyotypic difference between the test eukaryotic cell and the reference eukaryotic cell.
- [07] According to a second embodiment of the invention, a dimer is provided. The dimer comprises two distinct sequence tags from defined portions of the genome of a eukaryotic cell. The portions are defined by one or two restriction endonuclease recognition sites. Each of said sequence tags consists of a fixed number of

nucleotides of one of said defined portions of the genome. The fixed number of nucleotides extend from one of said restriction endonuclease recognition sites.

[08] According to a third embodiment of the invention, a concatamer of dimers is provided. The dimers comprise two distinct sequence tags from defined portions of the genome of a eukaryotic cell. The portions are defined by one or two restriction endonuclease recognition sites. Each of said sequence tags consists of a fixed number of nucleotides of one of said defined portions of the genome. The fixed number of nucleotides extend from one of the restriction endonuclease recognition sites.

[09] According to a fourth embodiment of the invention a method of karyotyping a genome of a test eukaryotic cell is provided. A population of sequence tags is generated from defined portions of the genome of the test eukaryotic cell. The portions are defined by one or two restriction endonuclease recognition sites. The sequence tags in the population are enumerated to determine the number of individual sequence tags present in the population. The number of a plurality of sequence tags in the population is compared to the number of said plurality of sequence tags calculated to be present in the genome of the species of the eukaryotic cell. The plurality of sequence tags are within a window of sequence tags which are calculated to be contiguous in the genome of the species of the eukaryotic cell. A difference in the number of the plurality of sequence tags within the window present in the population from the number calculated to be present in the genome of the eukaryotic cell indicates a karyotypic abnormality.

BRIEF DESCRIPTION OF THE DRAWINGS

[10] **Figure 1. Schematic of Digital Karyotyping approach.** Colored boxes represent genomic tags. Small ovals represent linkers. Large blue ovals represent streptavidin-coated magnetic beads. This figure is described in more detail below.

- [11] **Figure 2. Low resolution tag density maps reveal many subchromosomal changes.** The top graph corresponds to the Digital Karyotype, while the lower graph represents CGH analysis. An ideogram of each normal chromosome is present under each set of graphs. For all graphs, values on the Y-axis indicate genome copies per haploid genome, and values on the X-axis represent position along chromosome (Mb for Digital Karyotype, and chromosome bands for CGH). Digital Karyotype values represent exponentially smoothed ratios of DiFi tag densities, using a sliding window of 1000 virtual tags normalized to the NLB genome. Chromosomal areas lacking Digital Karyotype values correspond to unsequenced portions of the genome, including heterochromatic regions. Note that using a window of 1000 virtual tags does not permit accurate identification alterations less than ~4 Mb, such as amplifications and homozygous deletions, and smaller windows need to be employed to accurately identify these lesions (see Figure 3 for example).
- [12] **Figures 3A and 3B. High resolution tag density maps identify amplifications and deletions.** (Figure 3A) Amplification on chromosome 7. Top panel represent bitmap viewer with the region containing the alteration encircled. The bitmap viewer is comprised of ~39,000 pixels representing tag density values at the chromosomal position of each virtual tag on chromosome 7, determined from sliding windows of 50 virtual tags. Yellow pixels indicate tag densities corresponding to copy numbers <110 while black pixels correspond to copy number ≥ 110 . Middle panel represents an enlarged view of the region of alteration. The lower panel indicates a graphical representation of the amplified region with values on the Y-axis indicating genome copies per haploid genome and values on the X-axis representing position along the chromosome in Mb. (Figure 3B) Homozygous deletion on chromosome 5. Top, middle and lower panels are similar to those for (Figure 3A) except that the bitmap viewer for chromosome 5 contains ~43,000 pixels, tag density values were calculated in sliding windows of 150 virtual tags, and yellow pixels indicate copy numbers >0.1 while black pixels indicate copy numbers ≤ 0.1 . Bottom panel represents detailed

analysis of the region containing the homozygous deletion in DiFi and Co52. For each sample, white dots indicate markers that were retained, while black dots indicate markers that were homozygously deleted. PCR primers for each marker are listed in Table 4

- [13] **Figure 4. Identification of EBV DNA in NLB cells.** NLB, genomic tags derived from NLB cells after removal of tags matching human genome sequences or tags matching DiFi cells. DiFi, genomic tags derived from DiFi cells after removal of tags matching human genome sequences or tags matching NLB cells. The number of observed tags matching EBV, other viral, or bacterial sequences is indicated on the vertical axis.
- [14] **Figure 5. Low resolution tag density maps of the DiFi tumor genome.** For each chromosome, the top graph corresponds to the Digital Karyotype while the lower graph represents CGH analysis. An ideogram of each chromosome is depicted under each set of graphs. For all graphs, values on the Y-axis indicate genome copies per haploid genome, and values on the X-axis represent position along chromosome (Mb for Digital Karyotypes, and chromosome bands for CGH). Digital Karyotype values represent exponentially smoothed ratios of DiFi tag densities, using a sliding window of 1000 virtual tags normalized to the NLB genome. Chromosomal areas lacking Digital Karyotype values correspond to unsequenced portions of the genome, including heterochromatic regions.

DETAILED DESCRIPTION OF THE INVENTION

- [15] It is a discovery of the present inventors that the genome of an organism can be sampled in groups of small pieces to determine karyotypic properties of an organism

using a systematic and quantitative method. Changes in copy number of portions of the genome can be determined on a genomic scale. Such changes include gain or loss of whole chromosomes or chromosome arms, amplifications and deletions of regions of the genome, as well as insertions of foreign DNA. Rearrangements, such as translocations and inversions, would typically not be detected by the method.

- [16] Our data demonstrate that the method, called Digital Karyotyping, can accurately identify regions whose copy number is abnormal, even in complex genomes such as that of the human. Whole chromosome changes, gains or losses of chromosomal arms, and interstitial amplifications or deletions can be detected. Moreover, the method permits the identification of specific amplifications and deletions that had not been previously described by comparative genomic hybridization (CGH) or other methods in any human cancer. These analyses suggest that a potentially large number of undiscovered copy number alterations exist in cancer genomes and that many of these could be detected through Digital Karyotyping.
- [17] Like all genome-wide analyses, Digital Karyotyping has limitations. First, the ability to measure tag densities over entire chromosomes depends on the accuracy and completeness of the genome sequence. Fortunately, over 94% of the human genome is available in draft form, and 95% of the sequence is expected to be in a finished state by 2003. Second, a small number of areas of the genome are expected to have a lower density of mapping enzyme restriction sites and be incompletely evaluated by our approach. We estimate that less than 5% of the genome would be incompletely analyzed using the parameters employed in the current study. Moreover, this problem could be overcome through the use of different mapping and fragmenting enzymes. Finally, Digital Karyotyping cannot generally detect very small regions, on the order of several thousand base pairs or less, that are amplified or deleted.
- [18] Nevertheless, it is clear from our analyses that Digital Karyotyping provides a heretofore unavailable picture of the DNA landscape of a cell. The approach should be immediately applicable to the analysis of human cancers, wherein identification of

homozygous deletions and amplifications has historically revealed genes important in tumor initiation and progression. In addition, one can envisage a variety of other applications for this technique. First, the approach could be used to identify previously undiscovered alterations in hereditary disorders. A potentially large number of such diseases are thought to be due to deletions or duplications too small to be detected by conventional approaches. These may be detectable with Digital Karyotyping even in the absence of any linkage or other positional information. Second, use of mapping enzymes that are sensitive to DNA methylation (e.g. *NotI*) could be employed to catalog genome-wide methylation changes in cancer or diseases thought to be affected by genomic imprinting. Third, the approach could be as easily applied to the genomes of other organisms to search for genetic alterations responsible for specific phenotypes, or to identify evolutionary differences between related species. Moreover, as the genome sequences of increasing numbers of microorganisms and viruses become available, the approach can be used to identify the presence of pathogenic DNA in infectious or neoplastic states.

- [19] Populations of sequence tags are generated from defined portions of the genome. The portions are defined by one or two restriction endonuclease recognition sites. Preferably the recognition sites are located in a fixed position within the defined portions of the genome. In one embodiment three different restriction endonucleases are used to generate sequence tags. In this embodiment, the restriction endonucleases used to generate the tags can be termed mapping (first), fragmenting (second), and tagging restriction endonuclease. The defined portions extend from the fragmenting (second) restriction endonuclease site to the closest mapping (first) restriction endonuclease site. The sequence tags derived from these defined portions are generated by cleavage with a tagging enzyme. The closest nucleotides adjacent to the fragmenting (second) restriction endonuclease comprise the sequence tags. The number of nucleotides is typically a fixed number (defined here to include a range of numbers) which is a function of the properties of the tagging (third) restriction endonuclease. For example, using *MmeI* the fixed number is 20, 21 or 22. Other Type IIS restriction endonucleases cleave at different distances from their recognition

sequences. Other Type IIS restriction endonucleases which can be used include *BbvI*, *BbvII*, *BinI*, *FokI*, *HgaI*, *HphI*, *MboII*, *MnII*, *SfaNI*, *TaqII*, *Th111II*, *BsmFI*, and *FokI*. See Szybalski, W., *Gene*, 40:169, 1985. Other similar enzymes will be known to those of skill in the art (see, *Current Protocols in Molecular Biology*, supra). Restriction endonucleases with desirable properties can be artificially evolved, *i.e.*, subjected to selection and screening, to obtain an enzyme which is useful as a tagging enzyme. Desirable enzymes cleave at least 18-21 nucleotides distant from their recognition sites. Artificial restriction endonucleases can also be used. Such endonucleases are made by protein engineering. For example, the endonuclease *FokI* has been engineered by insertions so that it cleaves one nucleotide further away from its recognition site on both strands of the DNA substrates. See Li and Chandrasegaran, *Proc. Nat. Acad. Sciences USA* 90:2764-8, 1993. Such techniques can be applied to generate restriction endonucleases with desirable recognition sequences and desirable distances from recognition site to cleavage site.

- [20] In an alternative embodiment a single restriction endonuclease can define a defined portion of the genome. A fixed number of nucleotides on one or both sides of the restriction endonuclease recognition site then forms the sequence tags. For example, the restriction endonuclease *BcgI* can be used to provide a 36 bp fragment. The 12 bp recognition site (having 6 degenerate positions) lies in the middle of a fragment; 12 bp flank the site on either side. Other similar enzymes which can be used in this embodiment include *BpII* and *BsaXI*. Preferably the enzyme used releases a fragment having a sum of at least 18 or 20 nucleotides flanking its recognition sequence.
- [21] Enumeration of sequence tags generated is performed by determining the identity of the sequence tags and recording the number of occurrences of each such tag or of genomically clustered tags. Preferably the determination of identity of the tags is done by automated nucleotide sequence determination and the recording is done by computer. Other methods for identifying and recording tags can be used, as is convenient and efficient to the practitioner. According to one embodiment of the invention sequence tags are ligated together to form a concatenate and the

concatenates are cloned and sequences. In a preferred embodiment the sequence tags are dimerized prior to formation of the concatenate. The sequence tags can be amplified as single tags or as dimers prior to concatenation.

[22] A feature of the data analysis which enables the efficient practice of the method is the use of windows. These are groups of sequence tags which are genomically clustered. Virtual tags can be extracted from the genomic data for the species being tested. The virtual tags are associated with locations in the genome. Groups of adjacent virtual tags which are clustered in the genome are used to form a window for analysis of actual experimental tags. The term adjacent or contiguous as used herein to describe tags does not imply that the nucleotides of one tag are contiguous with the nucleotides of another tag, but rather that the tags are clustered in the same areas of the genome. Because of the way that sequence tags are generated, they only sample the genome; they do not saturate the genome. Thus, for example, a window can comprise sequence tags that map within about 40 kb, about 200 kb, about 600 kb, or about 4 Mb. Typically such windows comprise from 10 to 1000 sequence tags. Use of windows such as these permits the genome to be sampled rather than comprehensively analyzed. Thus, far less than 100 % of the sequence tags must be counted to obtain useful information. In fact, less than 50 %, less than 33 %, less than 25 %, less than 20 %, even less than 15 % of the sequence tags calculated to be present in the genome of the eukaryotic cell need be enumerated to obtain useful data. The karyotypic analysis can be used *inter alia* to compare a cancer cell to a normal cell, thereby identifying regions of genomic change involved in cancer. The karyotypic analysis can be used to identify genes involved in hereditary disorders. The karyotypic analysis can be used to identify genetic material in a eukaryotic cell derived from an infectious agent.

[23] Changes in amount of particular regions of the genome can identify aneuploidy if (a) sequence tags of one or more autosomes are determined to be present in the test eukaryotic cell relative to the reference eukaryotic cell at a ratio of 3 or greater or less than 1.5; or (b) sequence tags of one or more sex chromosomes in a male are

determined to be present in the test eukaryotic cell relative to the reference eukaryotic cell at a ratio of 1.5 or greater or less than 0.7; or (c) sequence tags of X chromosomes in a female are determined to be present in the test eukaryotic cell relative to the reference eukaryotic cell at a ratio of 3 or greater or less than 1.5, or relative to a reference female eukaryotic cell at a ratio of 1.5 or greater or less than 0.7. Similarly, such changes can be measured with reference to nucleotide sequence data for the genome of a particular species.

- [24] Preferably the method of the present invention employs the formation of dimers of sequence tags. Such dimers permit the elimination of certain types of bias, for example that which might be introduced during amplification of tags. Typically dimers which do not comprise two distinct tags are excluded from analysis. Two sequence tags which form a dimer are desirably joined end-to-end at the ends distal to the second restriction endonuclease (fragmenting) site. Such distal ends are typically formed by the action of the tagging enzyme, *i.e.*, the third restriction endonuclease. Preferably the distal ends are sticky ends. All or part of the oligonucleotide linkers can remain as part of the dimers and can remain as part of the concatenates of dimers as well. However, the linkers are preferably cleaved prior to the concatenation.

Examples

Example 1: Principles of Digital Karyotyping

- [25] These concepts are practically incorporated into Digital Karyotyping of human DNA as described in Fig. 1. Genomic DNA is cleaved with a restriction endonuclease (mapping enzyme) that is predicted to cleave genomic DNA into several hundred thousand pieces, each on average <10 kb in size (Step 1). A variety of different endonucleases can be used for this purpose, depending on the resolution desired. In

the current study, we have used *SacI*, with a 6-bp recognition sequence predicted to preferentially cleave near or within transcribed genes. Biotinylated linkers are ligated to the DNA molecules (Step 2) and then digested with a second endonuclease (fragmenting enzyme) that recognizes 4-bp sequences (Step 3). As there are on average 16 fragmenting enzyme sites between every two mapping enzyme sites ($4^6/4^4$), the majority of DNA molecules in the template are expected to be cleaved by both enzymes and thereby be available for subsequent steps. DNA fragments containing biotinylated linkers are separated from the remaining fragments using streptavidin-coated magnetic beads (Step 3). New linkers, containing a 5-bp site recognized by *MmeI*, a type IIS restriction endonuclease (18), are ligated to the captured DNA (Step 4). The captured fragments are cleaved by *MmeI*, releasing 21 bp tags (Step 5). Each tag is thus derived from the sequence adjacent to the fragmenting enzyme site that is closest to the nearest mapping enzyme site. Isolated tags are self-ligated to form ditags, PCR amplified *en masse*, concatenated, cloned, and sequenced (Step 6). As described for SAGE (17), formation of ditags provides a robust method to eliminate potential PCR induced bias during the procedure. Current automated sequencing technologies identify up to 30 tags per concatamer clone, allowing for analysis of ~100,000 tags per day using a single 384 capillary sequencing apparatus. Finally, tags are computationally extracted from sequence data, matched to precise chromosomal locations, and tag densities are evaluated over moving windows to detect abnormalities in DNA sequence content (Step 7).

- [26] The sensitivity and specificity of Digital Karyotyping in detecting genome-wide changes was expected to depend on several factors. First, the combination of mapping and fragmenting enzymes determines the minimum size of the alterations that can be identified. For example, use of *SacI* and *NlaIII* as mapping and fragmenting enzymes, respectively, was predicted to result in a total of 730,862 virtual tags (defined as all possible tags that could theoretically be obtained from the human genome). These virtual tags were spaced at an average of 3,864 bp, with 95% separated by 4 bp to 46 kb. Practically, this resolution is limited by the number of tags actually sampled in a given experiment and the type of alteration present (Table

1). Monte Carlo simulations confirmed the intuitive concept that fewer tags are needed to detect high copy number amplifications than homozygous deletions or low copy number changes in similar sized regions (Table 1). Such simulations were used to predict the size of alterations that could be reliably detected given a fixed number of experimentally sampled tags. For example, analysis of 100,000 tags would be expected to reliably detect a 10-fold amplification ≥ 100 kb, homozygous deletions ≥ 600 kb, or a single gain or loss of regions ≥ 4 Mb in size in a diploid genome (Table 1).

Table 1. Theoretical detection of copy number alterations using Digital Karyotyping*

Size of Alteration ⁺		Amplification Copy number = 10		Homozygous deletion Copy number = 0		Heterozygous loss Copy number = 1		Subchromosomal gain Copy number = 3	
# bp	# virtual tags	100,000	1,000,000	100,000	1,000,000	100,000	1,000,000	100,000	1,000,000
100,000	30	100%	100%	0.06%	100%	0.008%	0.02%	0.006%	0.08%
200,000	50	100%	100%	1%	100%	0.01%	3%	0.01%	0.7%
600,000	150	100%	100%	96%	100%	0.07%	100%	0.05%	100%
2,000,000	500	100%	100%	100%	100%	11%	100%	3%	100%
4,000,000	1000	100%	100%	100%	100%	99%	100%	97%	100%

* Copy number alteration refers to the gain or loss of chromosomal regions in the context of the normal diploid genome, where the normal copy number is 2. The limiting feature of these analyses was not sensitivity for detecting the alteration, as this was high in every case shown (>99% for amplifications and homozygous deletions and >92% for heterozygous losses or subchromosomal gains). What was of more concern was the positive predictive value (PPV), that is, the probability that a detected mutation represents a real mutation. PPVs were calculated from 100 simulated genomes, using 100,000 or 1,000,000 filtered tags, and shown in the table as percents.

+ Size of alteration refers to the approximate size of the genomic alteration assuming an average of 3864 bp between virtual tags.

Example 2: Analysis of whole chromosomes

[27] We characterized 210,245 genomic tags from lymphoblastoid cells of a normal individual (NLB) and 171,795 genomic tags from the colorectal cancer cell line (DiFi) using the mapping and fragmenting enzymes described above. After filtering to remove tags that were within repeated sequences or were not present in the human genome (see Materials and Methods), we recovered a total of 111,245 and 107,515 filtered tags from the NLB and DiFi libraries, respectively. Tags were ordered along each chromosome, and average chromosomal tag densities, defined as the number of detected tags divided by the number of virtual tags present in a given chromosome, were evaluated (Table 2). Analysis of the NLB data showed that the average tag densities for each autosomal chromosome was similar, $\sim 0.16 \pm 0.04$. The small variations in tag densities were likely due to incomplete filtering of tags matching repeated sequences that were not currently represented in the genome databases. The X and Y chromosomes had average densities about half this level, 0.073 and 0.068, respectively, consistent with the normal male karyotype of these cells. Analysis of the DiFi data revealed a much wider variation in tag densities, ranging from 0.089 to 0.27 for autosomal chromosomes. In agreement with the origin of these tumor cells from a female patient (20), the tag density of the Y chromosome was 0.00. Estimates of chromosome number using observed tag densities normalized to densities from lymphoblastoid cells suggested a highly aneuploid genetic content, with ≤ 1.5 copies of chromosome 1, 4, 5, 8, 17, 21 and 22, and ≥ 3 copies of chromosome 7, 13 and 20 per diploid genome. These observations were consistent with CGH analyses (see below) and the previously reported karyotype of DiFi cells (20).

Table 2. Chromosome number analysis

Chromosome	Virtual Tags	NLB		DiFi		
		Observed tags	Tag density	Observed tags	Tag density	Chromosome content*
1	61,694	10,090	0.16	6,991	0.11	<i>1.4</i>
2	61,944	9,422	0.15	9,545	0.15	2.0
3	46,337	6,732	0.15	7,379	0.16	2.2
4	41,296	5,581	0.14	3,666	0.089	<i>1.3</i>
5	43,186	6,216	0.14	4,136	0.10	<i>1.3</i>
6	41,633	6,120	0.15	7,291	0.18	2.4
7	38,928	5,836	0.15	9,875	0.25	3.4
8	35,033	5,009	0.14	3,260	0.093	<i>1.3</i>
9	30,357	4,909	0.16	4,861	0.16	2.0
10	37,320	6,045	0.16	4,865	0.13	1.6
11	37,868	6,081	0.16	5,432	0.14	1.8
12	30,692	4,631	0.15	4,056	0.13	1.8
13	22,313	3,012	0.13	5,197	0.23	3.5
14	23,378	3,658	0.16	3,171	0.14	1.7
15	22,409	3,581	0.16	4,159	0.19	2.3
16	23,028	4,119	0.18	3,201	0.14	1.6
17	22,978	4,298	0.19	3,145	0.14	<i>1.5</i>
18	18,431	2,712	0.15	2,389	0.13	1.8
19	16,544	3,271	0.20	3,589	0.22	2.2
20	20,585	3,573	0.17	5,460	0.27	3.1
21	9,245	1,465	0.16	1,036	0.11	<i>1.4</i>
22	12,579	2,476	0.20	1,655	0.13	<i>1.3</i>
X	30,737	2,249	0.073	3,147	0.10	1.4
Y	2,347	159	0.068	9	0.00	0.06
Total	730,862	111,245	0.15	107,515	0.15	2.0

* DiFi chromosomal content is calculated for autosomal chromosomes as two times the ratio of DiFi tag densities to corresponding NLB tag densities, and for the X chromosome as the ratio of DiFi tag density to NLB tag density. Values in bold represent autosomal chromosome content ≥ 3 while values in italics represent autosomal chromosome content < 1.5 .

Example 3: Analysis of chromosomal arms

[28] We next evaluated the ability of Digital Karyotyping to detect subchromosomal changes, particularly gains and losses of chromosomal arms. Tag densities were analyzed along each chromosome using sliding windows containing 1000 virtual tags (~4 Mb), as windows of this size were predicted to reliably detect such alterations (Table 1). For the NLB sample, tag density maps showed uniform content along each chromosome, with small variations (<1.5 fold) present over localized regions, presumably due to overrepresentation of tags matching repeated sequences (data not shown). In contrast, the DiFi tag density map (normalized to the NLB data) revealed widespread changes, including apparent losses in large regions of 5q, 8p and 10q, and gains of 2p, 7q, 9p, 12q, 13q, and 19q (Fig. 2 and Fig. 5. These changes included regions of known tumor suppressor genes (21) and other areas commonly altered in colorectal cancer (11, 12, 22). These alterations were confirmed by chromosomal CGH analyses, which revealed aberrations that were largely consistent with Digital Karyotype analyses in both location and amplitude (Fig. 2 and Fig. 5.

Example 4: Analysis of amplifications

[29] To identify amplifications, which typically involve regions much smaller than a chromosomal arm, average tag densities were dynamically calculated and visualized over sliding windows of different sizes. Although some relatively small alterations could be detected using a 1000 virtual tag window (Fig. 2), a window size of 50 virtual tags (~200 kb) was used for detailed analyses of amplifications because it would be expected to provide a relatively high resolution and sensitivity for experimental data consisting of ~100,000 filtered tags (Table 1). To visualize small alterations, we designed a bitmap based viewer that allowed much higher resolution views than possible with the standard chromosome maps such as commonly used for

CGH. Using this strategy, three amplification events were observed in the DiFi genome, while none was observed in the lymphoblastoid DNA (Table 3). The most striking was a 125-fold amplification located at position 54.54 - 55.09 Mb on chromosome 7p (Fig. 3A). Analysis of tags in this area resolved the boundaries of the amplified region to within 10 kb. Three genes were harbored within the amplicon - a predicted gene with no known function (DKFZP564K0822), the bacterial lantibiotic synthetase component C-like 2 (LANCL2) gene, and the epidermal growth factor receptor (EGFR) gene, an oncogenic tyrosine kinase receptor known to be amplified in DiFi cells (23). The second highest amplification was a 6-fold change at position 30.36 - 32.72 Mb on chromosome 13 (Fig. 2). This area, containing 8 genes, represents the apex of a broad region on 13q that is co-amplified. Finally, a <300kb region within 2Mb of the telomere of chromosome 20q appeared to be increased >5 fold. Independent evaluation of the 7p, 13q and 20q amplified regions using quantitative PCR analyses of genomic DNA from DiFi cells revealed copy number gains similar to those observed by Digital Karyotyping (Table 3). CGH underestimated the fold amplification on 13q (Fig. 2). More importantly, CGH completely failed to identify the amplifications of chromosome 7p and 20q because the <0.5 Mb amplicons were below the level of resolution achievable with this technique.

Table 3. Quantitative analysis of amplifications and deletions

Type of Alteration	Location	Copy number* (Digital Karyotyping)	Copy number* (quantitative PCR)
Amplifications	Chr 7: 54.54 - 55.09Mb	125	139
	Chr 13: 30.36 - 32.72 Mb	6.4	5.7
	Chr 20: 60.54 - 60.83 Mb	5.4	2.8
Deletions	Chr 18: 49.34 – 51.67 Mb	0	0
	Chr 5: 59.18 - 59.92 Mb	0	0
	Chr X: 106.44 - 107.25 Mb	0	0.4

* Copy number values are calculated per haploid genome as described in the Materials and Methods section.

Example 5: Analysis of deletions

[30] When a homozygous deletion occurs in a cancer cell, there are zero copies of the deleted sequences compared to two copies in normal cells. This difference is far less than that observed with amplifications, wherein 10 – 200 copies of the involved sequences are present in cancer cells compared to two copies in normal cells. Detection of homozygous deletions was therefore expected to be more difficult than the detection of amplifications. To assess the potential for detecting deletions, we first performed Digital Karyotyping on DNA from a cancer cell line (Hx48) known to have a homozygous deletion encompassing the SMAD4 and DCC genes on chromosome 18q (24). From a library of ~116,000 filtered tags, we were able to clearly identify this deletion on chromosome 18 (Table 3). The size of this deletion was estimated to be 2.33 Mb from Digital Karyotyping and 2.48 Mb from PCR-based analysis of markers in the region.

[31] We next attempted to determine whether any deletions were present in DiFi cells. Using a window size of 150 virtual tags (600 kb), we found evidence for four homozygous deletions in the DiFi genome but none in the NLB cells. These apparent deletions were on chromosomes 4p, 5q, 16q, and Xq, and were of sizes 782 kb, 743 kb, 487 kb, and 814 kb, respectively. Assessment of the regions on 4p and 16q by quantitative PCR did not confirm the deletions, either because they were located between the markers used for PCR analyses or because there were no genuine homozygous deletions. This latter possibility was not unexpected given the positive predicted value (PPV) estimated for a window size of 150 Virtual Tags (Table 1), especially as the PPV value would be expected to be lower in an aneuploid genetic background. However, similar analyses did confirm the homozygous deletion at the 5q locus and showed a substantial reduction in genomic content at the chromosome X region in DiFi DNA (Fig. 3B; Table 3). Neither of these deletions was detected by conventional CGH analysis (Fig 2). Further examination of the 5q locus by STS mapping demonstrated that the homozygous deletion was completely contained within the 59.18 - 59.92 Mb area identified by Digital Karyotyping and was ~450 kb in size (Fig. 3D). Analysis of 180 additional human colorectal tumors revealed an additional cell line (Co52) with a ~350 kb homozygous deletion of the same region, suggesting the existence of a novel tumor suppressor gene that may play a role in a subset of colorectal cancers.

Example 6: Detection of foreign DNA sequences

[32] Digital Karyotyping can in principle reveal sequences that are not normally present in human genomic DNA. The analysis of the library from NLB cells provided support for this conjecture. Like all lymphoblastoid lines, the NLB cells were generated through infection with Epstein-Barr virus (EBV) (25). EBV sequences persist in such lines in both episomal and integrated forms (26). To identify potential viral sequences in NLB cells, 210,245 unfiltered NLB tags were compared to virtual tags from the human genome and to unfiltered DiFi tags. These comparisons yielded a subset of

tags that had no apparent matches to human genome and these were searched against virtual tags from all known viral or bacterial sequences. A total of 2368 tags perfectly matched EBV or EBV-related primate herpes viruses, but no tags matched other viral or bacterial sequences (Fig. 4). Of the 100 virtual tags predicted to be found in the EBV genome, 94 (94%) were found among the NLB tags. A similar analysis of 171,795 unfiltered DiFi tags showed no matches to EBV or other microbial sequences (Fig. 4)

Example 7: Materials and Methods

Digital Karotyping Library Construction

- [33] Digital Karyotyping was performed on DNA from colorectal cancer cell lines DiFi and Hx48, and from lymphoblastoid cells of a normal individual (GM12911, obtained from Coriell Cell Repositories, NJ). Genomic DNA was isolated using DNeasy or QIAamp DNA blood kits (Qiagen, Chatsworth, CA) using the manufacturers' protocols. For each sample 1 µg of genomic DNA was sequentially digested with mapping enzyme *SacI*, ligated to 20-40 ng of biotinylated linker (5'-biotin-TTTCAGAGGTTTCGTAATCGAGTTGGGTGAGCT (SEQ ID NO: 1), 5'-phosphate-CACCCAACTCGATTACGAACCTCTGC-3' (SEQ ID NO: 2)) (Integrated DNA Technologies, Coralville, IA) using T4 ligase (Invitrogen, Carlsbad, CA), and then digested with the fragmenting enzyme *NlaIII*. DNA fragments containing biotinylated linkers were isolated by binding to streptavidin coated magnetic beads (Dynal, Oslo, Norway). The remaining steps were similar to those described for LongSAGE of cDNA (18). In brief, linkers containing *MmeI* recognition sites were ligated to captured DNA fragments, and tags were released with *MmeI* (University of Gdansk Center for Technology Transfer, Gdansk, Poland and New England Biolabs, Beverly, MA). The tags were ligated to form ditags, and the ditags were isolated and then ligated to form concatemers which were cloned into pZero (Invitrogen, Carlsbad, CA). Sequencing of concatemer clones was performed using the Big Dye Terminator v3.0 Kit (Applied Biosystems) and analyzed with a SCE-9610 192- capillary electrophoresis system (SpectruMedix, State College, PA)

or by contract sequencing at Agencourt (Beverly, MA). Digital Karyotyping sequence files were trimmed using Phred sequence analysis software (CodonCode, MA) and 21 bp genomic tags were extracted using the SAGE2000 software package, which identifies the fragmenting enzyme site between ditags, extracts intervening tags, and records them in a database. Detailed protocols for performing Digital Karyotyping and software for extraction and analysis of genomic tags are available at http://www.sagenet.org/digital_karyotyping.htm.

Simulations

- [34] The theoretical sensitivity and specificity of Digital Karyotyping for copy number alterations was evaluated using Monte Carlo simulations. For each alteration type, 100 simulations were performed as follows. Either 100,000 or 1,000,000 experimental tags were randomly assigned to 730,862 equally spaced virtual tags in a genome containing a single randomly placed copy number alteration of a predefined size and copy number. Moving windows containing the same number of virtual tags as the simulated alteration were used to evaluate tag densities along the genome. Tag density values of >4.9 , <0.1 , <0.6 , and >1.4 located within the area of amplifications, homozygous deletions, heterozygous losses and subchromosomal gains, respectively, were considered true positives. Tag densities of these values in areas outside the altered region were considered false positives.

Data Analysis

- [35] All tags adjacent to the *Nla*III fragmenting enzyme (CATG) sites closest to *Sac*I mapping enzyme sites were computationally extracted from the human genome sequence (UCSC June 28, 2002 Assembly, <http://genome.ucsc.edu/>). Of the 1,094,480 extracted tags, 730,862 were obtained from unique loci in the genome and were termed virtual tags. The experimentally derived genomic tags obtained from NLB,

DiFi and Hx48 cells were electronically matched to these virtual tags. The experimental tags with the same sequence as virtual tags were termed filtered tags and were used for subsequent analysis. The remaining tags corresponded to repeated regions, sequences not present in the current genome database release, polymorphisms at the tag site, or sequencing errors in the tags or in the genome sequence database. Tag densities for sliding windows containing N virtual tags were determined as the sum of experimental tags divided by the average number of experimental tags in similar sized windows throughout the genome. Tag densities were dynamically analyzed in windows ranging from 50 to 1000 virtual tags. For windows of 1000 virtual tags, DiFi tag densities were normalized to evaluated NLB tag densities in the same sliding windows to account for incomplete filtering of tags matching repetitive sequences, and visualized using tag density maps. For windows less than 1000 virtual tags, a bitmap viewer was developed that specifically identified tag densities above or below defined thresholds.

Quantitative PCR

- [36] Genome content differences between DiFi and normal cells were determined by quantitative real-time PCR using an iCycler apparatus (Bio-Rad, Hercules, CA). DNA content was normalized to that of Line-1, a repetitive element for which copy numbers per haploid genome are similar among all human cells (normal or neoplastic). Copy number changes per haploid genome were calculated using the formula $2^{(N_t - N_{line}) - (D_t - D_{line})}$ where N_t is the threshold cycle number observed for experimental primer in the normal DNA sample, N_{line} is the threshold cycle number observed for Line-1 primer in the normal DNA sample, D_t is the average threshold cycle number observed for the experimental primer in DiFi and D_{line} is the average threshold cycle number observed for Line-1 primer in DiFi. Conditions for amplification were: one cycle of 94° C, 2 min followed by 50 cycles of 94° C, 20 sec, 57° C, 20 sec, 70° C, 20 sec. Threshold cycle numbers were obtained using iCycler software v2.3. PCR reactions for each primer set were performed in triplicate and

threshold cycle numbers were averaged. For analysis of homozygous deletions, presence or absence of PCR products was evaluated by gel electrophoresis. PCR primers were designed using Primer 3 (http://www-genome.wi.mit.edu/cgi-bin/primer/primer3_www.cgi) to span a 100 – 200 bp non-repetitive region and were synthesized by GeneLink (Hawthorne, NY). Primer sequences for each region analyzed in this study are included in Table 4.

Table 4. Primer sequences used for quantitative PCR analysis.

Primer Name	Forward Primer	Reverse Primer	Chromosome or Accession Number	Location *
Line-1	AAAGCGGCTCAACTACATGG (SEQ ID NO:3)	TGCTTTGAATGCGTCCGAG (SEQ ID NO: 20)	M80343	2721
Chr7-C	AGGCTAGTCTTGAACTCCTGACCTT (SEQ ID NO:4)	ACCCTCCGATCCAGTAATTTCTACTC (SEQ ID NO:21)	Chromosome 7	54947607
Chr13-A	GAGCAGAGGCCAAGGGTGTG (SEQ ID NO:5)	CTATAACCTGGCACCCCAAATGG (SEQ ID NO: 22)	Chromosome 13	31963904
Chr13-B	CCCCACAGCAGTCTCCAAGAG (SEQ ID NO:6)	GCTGAGGTCTTTGGGACATGG (SEQ ID NO: 23)	Chromosome 13	32256751
ChX-A	CATGACTTCAGTGGTGCTAGAGA (SEQ ID NO: 7)	GTATGCTATATGTGGCAATACTGC (SEQ ID NO:24)	Chromosome X	107127218
Ch4-hCT1955219-E8	TTCCACTGAAAGGCCACAG (SEQ ID NO: 8)	TGAACAAAATTAACCTCAAATTGCTG (SEQ ID NO: 25)	Chromosome 4	96488613
Ch16-hCT1951220-E5	CCCTGGGGATCAAAATCAC (SEQ ID NO: 9)	AATGGACTTGCTCATGCTTTC (SEQ ID NO: 26)	Chromosome 16	69127944
Ch5-hCT1657046	CTGGAAGGCTGGCAGATG (SEQ ID NO: 10)	TTGCTCCTACCTGTGAATCTTG (SEQ ID NO: 27)	Chromosome 5	58988143
Ch5 HD 5'P	TCTGAACCATGGAGTTACAGAATGA (SEQ ID NO: 11)	CAGTCACTTCTCTACTGCACAAA (SEQ ID NO: 28)	Chromosome 5	59100130
Ch5 HD 5'N	CAGAAAGTCCTCTTGCTCTTTTACG (SEQ ID NO:12)	TGTTAGTGTCACTTGTTTCCCTGA (SEQ ID NO: 29)	Chromosome 5	59184713
Ch5 HD 5'L	TTGTATATAACAGGACGCACAATGG (SEQ ID NO:13)	GCAGACCTTATTTTCAGGAGGTAT (SEQ ID NO: 30)	Chromosome 5	59287952
Ch5 HD 5'J	GCTTTCAAAAGGGAGAGACAAAGAAT (SEQ ID NO:14)	CAAGGAGAGAAACTTATCCCACTG (SEQ ID NO: 31)	Chromosome 5	59390229
Ch5-hCT1645609EC	CTATCTTGTCGGAGACTTTTCATGT (SEQ ID NO: 15)	TGACTCTAGAAACCCCATTTGTTCTC (SEQ ID NO: 32)	Chromosome 5	59432676
Ch5 HD 5'G	TAACATATTGCGGGGAATGAGTACCT (SEQ ID NO: 16)	AAATCTCGTCCCTTTAGACTATGG (SEQ ID NO:33)	Chromosome 5	59476551
Ch5 HD 5'E	ATTGCTGAAGATAGCTGGATTTGG (SEQ ID NO: 17)	CAATATCCTAGCCTGAAAGAAGCA (SEQ ID NO: 34)	Chromosome 5	59570278

Patent Application

Atty. Docket No.: 001107.00391

Ch5 HD 5'D Ch5-hCT1643862	ID NO: 17)	ID NO: 34)	Chromosome 5 Chromosome 5	59683856 59877326
	ATCTGCTGCTGTTTTAAAGCATTTC (SEQ ID NO: 18)	CCTTGTTCAAGGCTTTTATTTC (SEQ ID NO: 35)		
	TGACCCGAGAATATCCCATC (SEQ ID NO: 19)	TTCCGTTTCATGTGGTGAATC (SEQ ID NO: 36)		

* Indicates location of forward primer in bp. All products were <500bp in size.

Karyotyping and Comparative Genomic Hybridization

[37] CGH was performed as previously described (19), and hybridization data were analyzed with the Leica Microsystems imaging software. Karyotyping was performed using standard procedures.

Example 8: Digital Karyotyping Using *BcgI*

[38] An alternative experimental design to amplify thousand of genomic fragments distributed randomly throughout the genome with a single set of PCR primers utilizes the restriction endonuclease *BcgI*. This experimental design can be used to identify novel SNPs as well characterize genomic alterations including deletions, amplifications and other copy number alterations.

[39] *BcgI* restriction fragments can be easily isolated from genomic DNA and can be ligated to a defined set of linker on both ends. Amplification of the final product only requires one set of PCR primers. *BcgI* fragments contain sufficient information to identify the genomic location from which they were derived. Quantitation of individual experimental tags or groups of tags over defined regions (windows) can be used to identify regions of deletion, amplification or copy number alterations. In addition, because subsets of genomic *BcgI* restriction fragments are polymorphic, these fragments can be used to identify SNPs.

[40] A *BcgI* fragment library was constructed as follows. Human genomic DNA from four individuals (2.5 µg each) were pooled (10 µg total) and digested with *BcgI* at 30° C for 30 min. *BcgI* restriction fragments were isolated by differential precipitation (perchloride precipitation followed by ethanol precipitation). Two linkers were

ligated on to the *BcgI* fragments at 16° C overnight. The linkers used were as follows:

[41] Linker A top strand:

5' -TTGGATTTGCTGGTGCAGTACAACCTAGGCTTAACGTCTCACTANN-3' (SEQ ID NO: 37)

[42] Linker A bottom strand:

5' -p-TAGTGAGACGTTAAGCCTAGTTGTACTGCACCAGCAAATCC-3' (SEQ ID NO: 38)

[43] Linker B top strand:

5' -TTTTACCTTCTGCGAAGCAGTTCGTCAACATAGACGTCTCACTANN-3' (SEQ ID NO: 39)

[44] Linker B bottom strand:

5' -p-TAGTGAGACGTCTATGTTGACGAACTGCTTCGCAGAAGGTA-3' (SEQ ID NO: 40)

[45] Ligation products were PCR amplified with primer A and primer B:

[46] Primer A:

5'-CTAGGCTTAACGTCTCACTAGG-3' (SEQ ID NO: 41)

[47] Primer B:

5'-TCAACATAGACGTCTCACTAGG-3' (SEQ ID NO: 42)

[48] The resulting 78 bp PCR products were gel-purified and digested with *BsmBI* at 56° C for 1 hour. The digestion products were ligated at 16° C for 30 min to form concatemers. Concatemers were size-selected and cloned into plasmid pZero-Kan.

[49] The *BcgI* library of fragments was sequenced with BigDye M13F primer on ABI 377.

[50] Two thousand five hundred eighty-nine clones were sequenced, identifying 22,038 tags and 5,123 contigs (windows). The contigs were found at the following frequencies:

3,581 contigs appeared twice or less;
1,321 contigs appeared three to ten times;
221 contigs appeared eleven times or more.

[51] We found a total of 98 SNPs (7.4%) possible SNP.

A/G or C/T	46	(46%)
1-nt Deletion	26	(28%)
A/C or G/T	12	(12%)
A/T	8	(8%)
C/G	6	(6%)

[52] While the invention has been described with respect to specific examples including presently preferred modes of carrying out the invention, those skilled in the art will appreciate that there are numerous variations and permutations of the above described systems and techniques that fall within the spirit and scope of the invention as set forth in the appended claims.

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